



31199

## Abstract

Cluster analysis of particle number size distributions from background sites across Europe is presented. This generated a total of nine clusters which could be further combined into two main groups, namely: a South to North category (four clusters) and a West to East category (five clusters). The first category was identified as most frequently being detected inside and around Northern Germany and neighbouring countries, showing clear evidence of local afternoon nucleation and growth events that could be linked to movement of air masses from South-to-North arriving ultimately at the Arctic resulting in Arctic Haze. The second group of particle size spectra proved to have narrower size distributions and collectively showed a dependence of modal diameter upon the longitude of the site (West to East) at which they were most frequently detected. These clusters indicated regional nucleation (at the coastal sites) growing to larger modes further inland. The apparent growth rate of the modal diameter was around  $1\text{--}3\text{ nm h}^{-1}$ .

Four specific air mass back trajectories were successively taken as case studies to examine in real time the evolution of aerosol size distributions across Europe. While aerosol growth processes can be observed as aerosol traverses Europe, the processes are often obscured by the addition of aerosol by emissions en route. This study revealed that some of the 24 stations exhibit more complex behaviour than others, especially when impacted by local sources or a variety of different air masses. Overall, the aerosol size distribution clustering analysis greatly simplifies the complex dataset and allows a description of aerosol aging processes, which reflects the longer-term average development of particle number size distributions as air masses advect across Europe.

31200

## 1 Introduction

Airborne particle size distributions vary in space and time and can be interpreted in terms of known sources, meteorological processes and aerosol dynamical processes affecting such particles. Sources contributing to particle concentrations in the atmosphere are both primary and secondary. Traffic is often found to be the most important primary source for ultrafine particles in urban areas (Charron and Harrison, 2003; Harrison and Jones, 2005). Particles in rural areas are from a wider range of sources, both primary and secondary. New secondary particles formed by nucleation are generated from gas-to-particle conversion. Such particles have been observed at many surface locations around the world and also within the free and upper troposphere (Kulmala et al., 2004; Venzac et al., 2008; Boulon et al., 2010, 2011). Reddington et al. (2011) recently stressed the importance of understanding the relative contribution of primary and secondary particles in regional and global aerosol so that models can attribute aerosol radiative forcing to different sources.

Compliance monitoring of particle mass metrics is widely performed (EEA, 2009), but at present, measurement of particle number concentrations is not required. Nevertheless, as the importance of particle number concentration as a complement to the existing  $PM_{2.5}$  and  $PM_{10}$  metrics has become recognised, particle size distributions are increasingly being measured in the context of air quality at multiple locations. For example – at national level – the UK Particle Monitoring Programme operates three SMPS instruments at Harwell (the UK EUSAAR site), and at the London sites of North Kensington and Marylebone Road, all measuring sub-micrometre particle number-size distributions, upon which numerous studies have been based (Charron et al., 2007; Beddows et al., 2009; Harrison et al., 2011). In Germany a similar initiative, established in 2008 by the German Environment Agency and known as the German Ultrafine Aerosol Network (GUAN), brings together several German institutes with an interest in sub-micrometre aerosol properties (Birmili et al., 2009). At European level, the EUSAAR (European Supersites for Atmospheric Aerosol Research) project of the Sixth

31201

Framework Programme of the European Commission contributed to development of a harmonised and quality-controlled network of measurements (Philippin et al., 2009; Asmi et al., 2011). The EUSAAR project coordinated 24 European sites measuring aerosol chemical, physical and optical properties following a standardised protocol of instrument maintenance, measurement procedures and data delivery using a common format to a single database (Wiedensohler et al., 2012). Recently, the EUSAAR project activities continued within the ACTRIS project (Philippin et al., 2009).

Asmi et al. (2011) presented a detailed overview of the sites and seasonally disaggregated size distributions collected at the EUSAAR and GUAN stations for the dry diameters between 30 and 500 nm for the years 2008 and 2009. The sites used to collect data are given in Table 1 and the reader is referred to Asmi et al. (2011) for a full account and description. It is important to note that all these sites are considered as remote or rural regional monitoring sites. Data was collected using either Differential Mobility Particle Sizer (DMPS) or Scanning Mobility Particle Sizer (SMPS) instruments and then harmonised into a single dataset.

Briefly, Asmi et al. (2011) showed that the aerosol concentrations in Europe are highly variable spatially and divide their description into 9 areas: Central European; Mountain; Arctic; Balkans; Nordic, Baltic, North Italian; Mediterranean; Western European (Fig. 1). *Central European aerosol* is observed at the low altitude stations CBW, BOS, WAL, MPZ, OBK and KPO from the Netherlands along a belt of land passing across Germany, Czech Republic, Slovakia to Hungary. These sites measure high concentrations of particles with almost unimodal median distributions but have small seasonal changes. South of this belt are the mountain stations of SSL, HPB, ZSF, JFJ and CMN. The mountain stations of SSL and HPB had many similarities with the low altitude boundary layer measurements and the higher mountains, at lower latitudes (including BEO) whose distributions characterised the *Mountain aerosol*. These had episodes of extremely clean air, most probably from the free troposphere, alternating with episodes of relatively polluted air masses, especially during daytime in summer. The stations with this kind of aerosol were JFJ, BEO, ZSF and CMN. In contrast, the *North Italian*

31202





### 3 Results

#### 3.1 Overview of the particle size distribution clustering

By clustering all of the spectra collected across the 24 EUSAAR sites, an understanding of how the spectrum types are shared between these sites can be observed. The daily spectra collected at each of the 24 EUSAAR sites over the years 2008 and 2009 can be summarised in nine *k*-means clusters (see Fig. 2). Six show strong ultrafine modes (Cluster 1, 2, 5, 6, 7 and 8 have modal-diameter < 100 nm) and three show accumulation modes (Cluster 3, 4 and 9 have modal-diameter > 100 nm).

Considering the size distributions, spatial patterns and temporal behaviour of the individual clusters in relation to the above sources and aging processes, examination of the particle size spectra in Fig. 2 suggests the following:

- Clusters 5 and 1 are associated mainly with nucleation processes. Cluster 1 appears more aged, as it contains a greater component of particles at larger diameters than Cluster 5.
- Clusters 9, 3 and 4 (modal diameters > 0.1  $\mu\text{m}$ ) represent well aged accumulation mode particles.
- Cluster 6 (modal diameter 30–40 nm) is similar to the spectrum of freshly emitted road traffic exhaust, but given the rural or remote locations of the sampling sites, probably has other origins or comprises aged nucleation particles.
- Clusters 7, 8 and 2 lie in the range between the nucleation/combustion emission spectra and the accumulation mode spectra and probably represent intermediate aging or multiple mixed sources.

In Figs. 3 and S5 the cluster frequencies are plotted for each site. In Fig. 3, the sites have been grouped according to geographic factors (location, altitude, etc) and show considerable similarities in pattern between sites within the same group. Features apparent are:

31207

- Cluster 5, with the smallest modal diameter and more clearly indicative of nucleation appears most frequently at the cleaner sites: MHD, PAL, CMN, PDD, SMR and ZSF, including several of the high altitude sites.
- Cluster 1 shows a wider abundance, including relatively high frequencies at BOS, HBP, MHD, MPZ, PDD, SSL and ZSF, possibly reflecting nucleation in slightly more polluted air masses.
- Cluster 6 appears frequently at BIR, CMN, HWL, JFJ, PAL, PDD, SMR and may represent either fresh combustion emissions, or given the remote locations of most of the sites, probably represents aged nucleation particles.
- Clusters 7, 8 and 2 which have modes between the nucleation group (i.e. > 35 nm) and the accumulation mode group Cluster 9 (< 0.11  $\mu\text{m}$ ) appear more frequently at BIR, BOS, CBW, CMN, HBP, HWL, IPR, JFJ, KPO, MPZ, PAL, PDD, SMR, SSL, VHL, WAL, ZSF (i.e. almost all sites), with a clear distinction between those with a high frequency of Cluster 2 and those with mainly Cluster 7 and 8. The reasons for this are unclear.
- Clusters 9, 3 and 4 representing clear accumulation mode particles appear frequently at BEO, CMN, FKL (Cluster 9 only), HBP (Cluster 3 only), IPR (Cluster 9 only), KPO, MHD (Cluster 3 only), MPZ (Cluster 3 only), OBK, PLA (Cluster 9 only), SMR, SSL (Cluster 3 only), VHL, ZSF and most notably at ZEP where the frequency of Cluster 4 far exceeds that of all others.

The overall conclusion of these results appears to be that nucleation affects all sites, but is common at only a few sites, and all sites experience aged aerosol to differing degrees. This is unsurprising in the context of atmospheric transport and the geography of Europe.

Figure 4 shows these modes in more detail and how the spectra vary across the quartile plots. For each cluster, the colour plots also show the dependence of the particle size spectra on the hour of the day and in this case, the benefits of clustering

31208

the daily average. Also shown are the monitoring sites at which the clusters are most frequently observed (See European maps in Fig. 4 and also Fig. S5 which shows the frequency of occurrence at each site with latitude and longitude). In general when referring to Fig. 4, the broader multimodal distributions (Clusters 1–3) are most frequently detected in central Europe, where there are likely to be many source regions influencing a site, whereas the remaining narrower distributions are most frequently detected at the Northern, Western and Eastern European sites. The nucleation modes tend to be most frequently observed at the higher latitude sites North of, and West of, the industrial heart of Europe.

Clusters that show a temporal pattern likely to be associated with nucleation are Clusters 1, 2, 3, 5 and 6. These are characterised by an increase in particle numbers in the smallest size range (below 20 nm) at around 15:00 (UTC) with a mode that increases in size through the following hours (Fig. 4). Figure S6 shows that these clusters show very different seasonal patterns. Clusters 1, 3, 5 and 4 have a higher percentage of occurrence during the winter months, whereas Clusters 6, 7 and 8 tend to have a higher occurrence during the summer months. Cluster 2 and 9 do not show a clear seasonal trend. At ZEP, Cluster 4 is most prominent over the winter, detected between September and April whereas Cluster 5 is observed mainly in the summer between March and August. This is in approximate agreement with the seasonal averages reported by Asmi et al. (2011) and Ström et al. (2003) who attributed the accumulation mode distributions to Arctic Haze and the Aitken mode distributions to photochemical processes. At MHD, Cluster 5 peaks in April and September and is at a minimum during the winter months. Cluster 5, which shows a mode at one of the smallest diameters, may be consistent with nucleation processes. At some of the more remote sites (e.g. ZEP), Cluster 5 is observed mainly in the summer between March and August, but overall tends to show higher frequency in the cooler months. Since seasonal frequencies of nucleation vary across Europe, this may be the result of nucleation processes, but points to the fact that a given cluster may have more than one mechanism of genesis. Since Clusters 1, 2, 3 and 6, which show the most obvious temporal growth

31209

process apparently driven by solar radiation followed by condensational growth at the colder temperatures in the evening, have a wide range of modal diameters, it seems probable that the common feature is that they are undergoing growth rather than necessarily being newly formed. Indeed, all of these distributions show marked breadth and include separate modes obscured by the overall umbrella curve. Clusters 1, 2 and 3 all show a dominance of central European sites and also of mountain sites, presumably reflecting the relatively high concentration of potential substrates for gas-to-particle conversion processes or low condensation sink. Clusters 7 and 4 show predominant association with a single site. In the case of Cluster 7, most frequently measured at CBW, the size distribution is strongly suggestive of an aged vehicle exhaust aerosol in which the semi-volatile particles have evaporated leaving predominantly the larger non-volatile particles (Dall'Osto et al., 2011b). The presence of CBW in the heavily urbanised area of the Netherlands is consistent with such an explanation, although a number of Scandinavian sites in very much less polluted areas are also associated with this cluster. In the case of Cluster 4, one site (ZEP) accounts for around 50 % of observations (Fig. S5) both in summer and winter. Cluster 4 shows a very strong association with the winter months (Fig. S6) and a very coarse modal diameter at around 150 nm. This appears to be a strongly aged accumulation mode aerosol associated with the Arctic haze phenomenon. Clusters 4 and 9, both of which represent clusters with relatively coarse modes show their highest abundance during nocturnal hours, presumably associated with low level nocturnal inversions and condensational growth.

### 3.2 Categorization of the nine clusters by cluster proximity diagram

The results can also be generalised by the Cluster Proximity Diagram in Fig. 5 for UTC. While  $k$ -means clustering matches together the most similar spectra into the nine clusters, the Cluster Proximity Diagram positions these clusters according to the degree of similarity that each cluster has to each other. In other words, the closer the clusters are in the diagram, the more similar they are (Fig. 5). So for instance, Clusters 5 and 4 contain the most different spectra and hence there are at least 2 other clusters in

31210

between them on the diagram, whereas Clusters 2 and 8 are more similar. In essence, clusters next to each other on the diagram are similar to each other but not sufficiently similar to form a new cluster if merged. When arranging the clusters in this manner (represented by 9 nodes in the diagram) trends can be extracted from the data. In this diagram, it can be seen that both the modal diameter of the clusters and the hour of the day at which the cluster reaches its maximum occurrence increases from left to right. These trends become evident when the modal diameter is plotted as a function of hour of maximum occurrence (Fig. 6).

The clusters furthest apart on the Cluster Proximity Diagram, Clusters 5 and 4 have a geographic Arctic/Atlantic signature. However, their separation in the Cluster Proximity Diagram is due to the fact that whilst Cluster 5 is the beginning of category representing the general movement of an air mass from West to East, Cluster 4 is the end product of another category representing the general movement of air mass from South to North. The cluster with the smallest modal diameter (Cluster 5, ~ 22 nm) occurs with greatest frequency at the coastal site of MHD and at the Arctic and Nordic sites of ZEP, PAL and SMR (see Tunved et al., 2012). In contrast, Cluster 4 is also most commonly observed at ZEP and then PAL and SMR but has much larger modal diameter of 0.16  $\mu\text{m}$ .

Clusters 1, 2 and 3 are all linked together and occur most frequently in Central Europe. The low altitude MPZ and mid altitude SSL site are common to all of Clusters 1–3 as maximum occurrence sites. Clusters 1 and 2 exhibit similar characteristics in that both are nucleation mode clusters which enter the measurement size range during the afternoon as small particles due to photochemical processing of gases and then develop into larger particles in the evening and night time hours (see Fig. 4, colour map) and potentially sharing the same processes since Clusters 1 and 2 share the same sites of occurrence with the exception of MHD and VHL. Furthermore, the presence of Cluster 1 at MHD may indicate the influence of nucleation of particles in marine air (in the lower percentiles of the cluster) which then age as they move inland. The average spectrum of Cluster 3 is centred above 0.1  $\mu\text{m}$  and shares a similar 24 h characteristic

31211

in its colour map as Clusters 1 and 2 suggesting that at some sites, this cluster occurs partly as a consequence of the presence of events and processes within Clusters 1 and 2.

At the furthest right hand of the cluster proximity-diagram are Clusters 4 and 9 which include spectra from the later part of the aging process as the air moves on average from South to North or West to East. Cluster 9 is frequently observed in the two most easterly observatories BEO and FKL supporting the generalisation that as the air masses move to greater longitudes, the modal diameter of the size distribution increases as the air mass passes over the land (see Figs. 7 and S7). Considering the site of Finokalia (FKL), Cluster 9 occurs throughout the year, being most prominent at this site during the months March, April and May. The colour map shows it to occur most during the night when the boundary layer is at its shallowest. This distribution typifies the aged and polluted aerosol distributions commonly observed in the eastern Mediterranean (Eleftheriadis et al., 2006; Hildebrandt et al., 2010; Kopanakis et al., 2013). Cluster 9 is also detected frequently during the summer at BEO and KPO. However, at ASP and SMR, the spectra in this cluster are more frequent during the Winter–Spring time (January–July). Although Cluster 4 is measured at BEO and FKL, it is most frequently observed over the Arctic and Nordic sites of SMR, PAL and ZEP and typifies the aged and polluted aerosol distributions commonly observed during the winter months in the Arctic which typify Arctic Haze. It is important to note that part of the occurrence of Cluster 4 over Arctic and Nordic sites may also be originating via sea spray at high wind speed (Dall’Osto et al., 2011a).

Considering again the Cluster Proximity Diagram and the position of the sites of frequent occurrence in Figs. 4 and S5, it can be argued that the growth of nucleated particles is represented by the sequence of Cluster 5 to 8 (whose maxima occur sequentially at a later hour of the average day) which then feed the accumulation particles represented in Cluster 9. As the modal diameter increases from 22 nm in Cluster 5 through Clusters 6, 7 and 8 (35 nm, 50 nm, and 60 nm) there is a tendency of the sites observing these clusters to move from the coastal and Arctic sites inland reflecting the

31212



aging of aerosol as it passes across the land (see Sect. 4). Spectra from these clusters are also observed further inland at the mountain sites JFJ and ZSF. Also of interest is the observation that Cluster 5 peaks in frequency in the mid-afternoon and Cluster 9 occurs most during the night and morning. Clusters 5–9 and 4 are not frequently detected over the belt of industrial areas of Europe where the Central European aerosol is generally observed. Referring to Fig. S6, Clusters 3, 5 and 4, which are frequently detected at the Arctic, Nordic, Alpine and central European sites, are more frequently detected in the winter months between October and March. Clusters 6, 7 and 8 (pertaining to the Nordic and central European areas) show an opposite trend, peaking between April and October.

### 3.3 Categorization of the nine clusters by average modal diameters

The average spectra of Clusters 1, 2, and 3 can be described as broader versions of Clusters 5, 8 and 9 and are most frequently detected at one or more of the sites along the belt of boundary layer sites (including IPR) where Central European aerosol is measured. The broad shape of the spectra of Clusters 1–3 reflects different stages in the formation and growth of the Central European particles (Fig. 2a) which represent the category South to North. Particle growth can be clearly seen separately in each of Cluster 1, 2 and 3, and by combining the colour maps into one, it is clear that they each represent a different stage of a complete cycle of particle growth (see Fig. S8a). As later argued, these may develop in Central Europe before being detected as Arctic Haze at ZEP. In comparison, the spectra within Clusters 5–9 (representing the category West to East in Fig. 2b) individually show less evidence of growth within each of the average 24 h colour maps, and there is a greater spread of modal diameters across the clusters. However, when ordered according to modal diameter (from Cluster 5 to 9) progressive growth is again seen representing particle growth as the particles move from West to East across Europe albeit over a cycle much longer than for Clusters 1, 2, 3 and 4. Figure 6 exemplifies this further by showing how the modal diameters of the clusters grow with time. These use the times of maximum occurrence from the colour

31213

maps in Fig. 4 to discern time-of-day, with addition of 24 or 48 h to give the best fit to the curve. Growth rates average  $2.3 \text{ nm h}^{-1}$  and  $1.9 \text{ nm h}^{-1}$  for the two curves, but actually slow with growing particle diameter (see Fig. 6). Growth rates estimated from the West to East and South to North trajectories (see later) are of the similar magnitude. When repeating this analysis with nucleation and accumulation mode peaks derived from curve fitting to these clusters (Figs. S9 and S10), it can be seen that this trend is peculiar to the nucleation mode. Growth rates of the nucleation mode peak 1 are rather slower than those for the mode of the full distribution seen in Fig. 6. The fitted growth rates are of the same order as those measured in situ (Kulmala et al., 2004).

Clusters 4 and 9 have the largest modal diameter amongst the sites and considering the trends shown in Fig. 6, tentative links can be made with particles emitted over Central Europe or even sources nucleating particles detected mainly on the Atlantic coast respectively. When considering the sites where each of the 9 clusters occur and the likelihood in terms of the percentage occurrence at each site, a general trend can be observed in that the larger the modal diameter of the cluster the greater the longitude of the site it was measured at. This trend is made clear by considering the modal diameters of each cluster plotted against the Longitude and Latitude weighted according to the population of the cluster at each of the 24 sites (Fig. 7). When carrying out this analysis, trends can be observed and separated into patterns which can be grouped according to whether the clusters are classified as “fast” or “slow” growing clusters, i.e. Clusters 1 to 2 to 3 to 4 and Clusters 5 to 6 to 7 to 8 to 9 respectively. Considering Clusters 5 to 9, a clear increase in modal diameter can be seen with increased Weighted Longitude; this can be understood by the general movement of air masses across Europe, eastwards from the Atlantic. When considering the same modal diameter plotted against Weighted Latitude, the increase in modal diameter can be observed with an overall decrease in Weighted Latitude, which can be understood by the bias of the strong detection of Cluster 9 at FKL. In the same way that the linear and curved trends passing through the modal diameters of Cluster 5 to 9 show the aging of aerosols passing from West to East, Cluster 4 can be linked to the central European Clusters 1, 2

and 3. When considering the modal diameters of Cluster 1 to 3 to 4, an increase can be observed again with Weighted Longitude which can be understood by the movement of air masses from West to East. And again when considering the modal diameters  $\bar{\varnothing}$  of these same clusters with Weighted Latitude, significant growth ( $\Delta\bar{\varnothing} = 30 \text{ nm}$ ) is seen between Clusters 1 and 3 at roughly the same Weighted Latitude (Central Europe) before Cluster 4 is detected ( $\Delta\bar{\varnothing} = 40 \text{ nm}$ ) along this sequence at a higher Weighted Latitude (corresponding to SMR, PAL and ZEP).

#### 4 Discussion

While Asmi et al. (2011) sought to characterise sampling sites in terms of their particle size distributions, this paper seeks to understand better the relationships between those size distributions and the processes which influence them. Similar aims, but very different data analyses were reported by Von Bismark-Osten et al. (2013) in relation to measurements sites in and adjacent to four European cities. For Central Europe, a principal components analysis of particle number size distributions was carried out on the basis of a multiple-site dataset (Costabile et al., 2009). These authors found, for the east German region, statistically independent size distribution components and signature size distributions similar to this work: a nucleation mode ( $\sim$  cluster 1 from this work), an Aitken/aged nucleation mode ( $\sim$  cluster 2 from this work), a first accumulation mode (corresponding to direct emissions and condensation), and a second accumulation mode resulting from cloud processing.

Considering these sequences of clusters plotted against Weighted Longitude/Latitude, two clear trends can be seen between the modal diameter of the clusters and the Weighted Longitude/Latitude. The cluster results show two different aging processes leading to Cluster 4 and 9 depending on whether the air masses are moving from South to North or West to East.

- Clusters 1, 2, 3 and 4 generalise the growth of particles formed in Central Europe which first age over mid-latitudes before undergoing further aging as they are advected North (leading to Arctic Haze) and/or East due to the prevailing wind patterns; and

31215

- Clusters 5, 6, 7, 8 and 9 generalise a mechanism where particles age as they form at coastal sites and then pass over land from West to East. They will, of course, also be influenced by additional particles entering the air mass as it advects across Europe.

It is envisaged that by using a higher number of clusters in this analysis additional points along the purple plots (in Figs. 6, 7, and S7) linking Clusters 3 and 4, would result. But from these observations, a spatial generalisation can be made that at greater longitude the likelihood of detecting a cluster with a large modal diameter is increased. Similar trends are observed for the modal diameters measured in the equivalent volume distributions of the clusters (Fig. S7) except the trends for data plotted with respect to the weighted longitude are straighter. These trends are examined further through case studies of specific air mass trajectories (see Sect. 4.2).

##### 4.1 Influences on a measured particle size distribution

Primary emissions frequently impact upon measured size distributions, especially when close to source. Most notable in this context are emissions from road traffic which have an immediate impact upon size distributions in the roadside environment, while advected particles will also impact considerably downwind of the source. For particles in the size range of less than 500 nm which are the focus of this paper, the relevant sources of primary particles are generally related to combustion and include point sources as well as road traffic line sources (Denier van der Gon et al., 2010). Fresh particles from road traffic exhaust typically show an overall mode at around 30 nm diameter comprising the sum of two modes; a mode at around 20 nm arising from nucleation of semi-volatile organic compounds and a mode at around 70 nm comprising particles with a graphitic core formed in the combustion chamber (Harrison et al., 2011).

Secondary nanoparticles arise from the nucleation of low-volatility materials often requiring the formation of a sulphate nucleus which grows predominantly through condensation of oxidised organic compounds. Many studies have reported the observation of new particles formed through regional nucleation processes, starting at a few  
5 nanometres diameter and growing to several tens of nanometres over a period of hours (e.g. Alam et al., 2003; Kulmala et al., 2004). By plotting curves fitted through the modal diameter and maximum hours of occurrence of Clusters 1, 2, 3 and 4 and Clusters 5, 6, 7, 8 and 9, a growth process can be envisaged, seen in Figs. 6 and S10. Given the anthropogenic origin of Clusters 1, 2 and 3 in Central Europe (with traffic being the  
10 dominant source), we would expect this trend to represent the growth of fresh emissions with a modal diameter of 20 nm.

Both primary and secondary particles can grow and shrink in size within the atmosphere. Because they often comprise semi-volatile material, they are subject to condensational growth in areas of high vapour concentration but may shrink by evaporation  
15 if the particles move into a region of the atmosphere with low vapour concentrations such that the pressure of vapour in equilibrium with the particle's surface exceeds the environmental vapour pressure. The evaporation process has been clearly observed for particles generated from road traffic emissions (Dall'Osto et al., 2011b). In most circumstances, however, condensable vapours are continuously formed in the atmosphere  
20 through oxidation processes and the net process is one of condensational growth of particles.

In addition to condensational growth, particles can grow through coagulation. However, this requires relatively high number densities and at typical atmospheric concentrations coagulation is likely to be a rather slow process. It is most rapid between  
25 large and very small particles and consequently may impact on the number density of nanoparticles, whilst having little impact on the size, and no impact on the number of larger particles. While condensational growth leads to an increase in the mode of a size distribution without a change in particle number concentration, coagulation will cause

31217

a growth in the mode of the size distribution together with a simultaneous reduction in particle number concentration.

One of the main determinants of particle number concentration, especially in proximity of sources is atmospheric dilution. Particles in a plume will reduce in number  
5 concentration as that plume dilutes downwind of a source or a city, with both lateral spread and vertical mixing to fill the surface boundary layer. Such processes are likely to dominate over deposition, although on longer timescales deposition processes can substantially influence the particle number concentration and size distribution. Both wet and dry deposition processes affect airborne particles and are most efficient for  
10 very small and very large particles. Particles in the accumulation mode of around 100–200 nm diameter are least susceptible to depositional processes and have a long atmospheric lifetime. Cloud processing can also substantially impact on the number and size distribution of particles, typically leading to particle growth through incorporation of vapour phase material into cloud water droplets which subsequently evaporate, and  
15 also by scavenging of multiple particles by single cloud water droplets.

#### 4.2 Air mass back trajectory case studies

The results from the cluster analysis are a static average picture of 2 yr of the dataset presented by Asmi et al. (2011). They give a good generalisation of how the particle number distributions are grouped together across the European map. The comparison  
20 of the clusters also gives an indication of the underlying processes at work as air masses pass across Europe showing the aging of particles as they pass from West to East (5, 6, 7, 8, 9) or from South to North (category 2, Cluster 1, 2, 3, 4).

To further test the evolution of particle size distribution across Europe, and to explore the processes behind their transformation, air masses passing over as many European  
25 sites as possible were considered along the main West–East and North–South geographical corridors formed by the EUSAAR sites. In order to do so, we present four case studies of four different air mass trajectories: case study 1 (MHD to FNK, West to East, Fig. 8), case study 2 (KPO to MHD, East to West, Fig. 9), case study 3 (JFJ-

31218

HPB to ZEP, South to North, Fig. 10) and case study 4 (PAL to CMN, North to South, Fig. 11). Each trajectory (calculated by the British Atmospheric Data Centre (BADC) Trajectory Service) is plotted onto a particle number emission (PN) map (Denier van der Gon et al., 2010) ( $\text{Ø} \leq 300 \text{ nm}$  on a  $7 \times 7 \text{ km}$  grid) in order to indicate the level of emission activity along the trajectory. The transit time between the monitoring sites was estimated and the particle size spectra at each monitoring site at the relevant trajectory time ( $1 \pm 1 \text{ h}$ , total 3 h) were extracted from the dataset. Each of the Figs. 8–11 has the same structure: (a) the wind rose direction studied; (b) the 5 day air mass back trajectories; (c) the monitoring site-specific size resolved particle number concentration averages; (d) the nucleation, Aitken and accumulation modes (in number concentration) of each monitoring site, obtained from the fitting of log normal modes to (c); (e) the monitoring site-specific size resolved particle volume concentration averages; (f) the volume concentration of the accumulation, Aitken and accumulation modes of each monitoring site, obtained from the fitting log modes of (e).

The resulting modal diameters are indicated by the grey dots on the spectrum stacks and areas under these fitted modes were plotted against distance from the site where the first recordable spectrum was measured. The dotted lines in Figs. 8–11(c, e) represent a tentative linkage indicative of possible evolution of particle modes along the trajectory. In the next four sub-sections, details of each of the four case studies are presented.

#### 4.2.1 Case study 1: West to East trajectory

Figure 8 shows an air mass trajectory started on 18 December 2008 off the West coast of the Republic of Ireland over the Atlantic Ocean in an area of frontal activity. A high percentage of cloud and rain accompanied the air mass as it passed over MHD and HWL (Figs. S11 and S12). As the air mass passed from CBW to KPO between 21 and 22 December 2008, the synoptic charts for these two days indicate that the air mass trajectory passed between a region of high pressure, centred over France and a region of low pressure positioned over the Nordic countries (Figs. S11 and S12). Between

31219

these two regions of pressure two weather fronts stretched across the UK, along the path of the trajectory and into Eastern Europe. Again, rain and cloud was present along the trajectory from CBW to OBK. The persistent rain and cloud is responsible for extensive aerosol deposition from the air mass.

From CBW to KPO the atmospheric pressure dropped from 1020 mb to a minimum of 980 mb at MPZ before rising again towards 1020 mb at KPO. This low pressure was accompanied by between 80 and 100 % cloud cover which then reduced to between zero and 40 % after KPO. The high cloud cover is also reflected in the low downward short wave radiation flux (DSWF) reaching a maximum of  $50 \text{ W m}^{-2}$  during the day time, rising to 200 and  $350 \text{ W m}^{-2}$  after the air mass passes KPO. The Planetary Boundary Layer Height (PBLH) also kept to a low value of  $\sim 500 \text{ m}$  towards MPZ after which a diurnal cycle was re-established due to the clearer skies. After KPO, the PBLH reached a maximum of 1.7 km. Rainfall was reported along the trajectory from CBW to MPZ (Figs. S11 and S12).

Overall, the meteorology along this trajectory was wet and cloudy up to the point when the air mass arrived at MPZ, when the weather pattern started to change to a situation where high pressure sat over the measurements sites on 23 and 24 December. Up until this point the downward solar flux was low due to the heavy cloud cover, which in turn led to a low boundary layer height. Regional nucleation would be very unfavoured under these conditions. In fact, precipitation scavenging explains the relatively low particle number concentrations accompanied by a strong accumulation mode due to the less efficient precipitation scavenging of this size range. Since the air mass originated over the Atlantic, a strong sea salt source (coarse mode indicated in the volume spectrum, Fig. 8e) would be expected to contribute to the initial spectrum recorded at MHD. For this trajectory, hourly spectra were not available at HWL and FKL within the  $\pm 1 \text{ h}$  window used to select spectra and in order to have some representation, a  $\pm 120 \text{ h}$  window was used to generate spectra. Even so, within this compromise, the development of the particle size spectrum can be seen as a mode at 40 nm is observed at MHD and HWL which then grows to 50 nm at CBW corresponding



the relatively dry conditions compared to the previous West-to-East scenario, nucleation peaks were detected with the stagnating air mass which progressively decreased in number density when approaching MHD. MPZ was the first site free of rain, and showed a multi-modal distribution. There was a considerable loss in volume from MPZ to WAL and CBW associated with a reduction in the accumulation mode, but growth of a nucleation mode was seen. This however was lost at HWL but grew in again at MHD. Local sources including nucleation and deposition processes appear to be the dominant factor for this trajectory. In summary, from the East to West Trajectory case study 2 (Fig. 9), it is clear that it is more difficult to develop a mechanistic interpretation of the development of the particle number and volume spectra than for the West to East Trajectory Case Study 2 (Fig. 8). This may be due to the greater complexity in the initial aerosol composition over land compared to the relatively clean air originating over the Atlantic Ocean.

### 4.2.3 Case study 3: South to North trajectory

Case studies 1 and 2 considered air mass back trajectories moving at relatively constant latitude across the European continent. In contrast, case studies 3 and 4 are based upon air mass back trajectories moving at relatively constant longitude across the European continent. In case study 3, the air mass moved from land to sea, but this time arriving at the Arctic site of Zeppelin (ZEP) and starting from the relatively clean air sites of JFJ and HPB (typified by Cluster 7, see Fig. 10).

The synoptic maps for the beginning of February 2008 show a persistent weather system with low pressure over the UK and high pressure over Eastern Europe causing the air mass considered in our trajectory to travel up from HPB to ZEP crossing a cold and cold/warm weather front as the air mass approached ZEP (Figs. S15 and S16). Most noticeable in the meteorology was the presence of snow and rain as the trajectory passed between SMR and ZEP. This was reflected in the temperature profile as the value fell below 0 °C between ASP and ZEP which otherwise rose to a maximum of around 5 °C. The cloud cover along the trajectory was high, dipping away from 100 %

31223

and the DSWF reached a maximum of  $\sim 300 \text{ Wm}^{-2}$  during the day at the start of the trajectory and progressively became weaker over the 5 days. The PBLH varied between  $\sim 600 \text{ m}$  before climbing to  $1400 \text{ m}$  half way between SMR and ZEP.

The final particle size spectrum at ZEP was typical of the characteristic average spectrum measured at this site, described by Cluster 4, which has the largest modal diameter of between  $100 \text{ nm}$  and  $150 \text{ nm}$ . For the scenario considered in Fig. 10, the first site was JFJ and was relatively clean, being located at top of the Jungfrauoch above the boundary layer pollution. The second site on the trajectory was at MPZ and both the total particle number and volume were much higher at this boundary layer site. The modal diameters of  $30 \text{ nm}$  and  $100 \text{ nm}$  probably represented traffic aerosol and secondary particles respectively. As the air mass travelled north towards ZEP, fewer particle sources were encountered (see Fig. 10) and the particle number decreased to values comparable with the initial number at JFJ. Steady growth of modal diameters occurred with the nucleation mode at MPZ apparently transitioning to an accumulation mode at ZEP.

When comparing this case study with the cluster classification (Fig. 5), the spectra followed from left to right across the Cluster Proximity Diagram from a Cluster 1 spectrum at MPZ, to a Cluster 2 spectrum at VHL, which then becomes successively a Cluster 3 and Cluster 4 spectrum at SMR and ZEP, respectively. In other words, the South-to-North trajectories were generalised by the upper left-to-right nodal positions in the Cluster-Proximity Diagram. In summary, case study 3 well represents the second category of our study (South to North, Cluster 1, 2, 3 and 4). We do not include JFJ in the latter description, as it clearly experienced a different (free troposphere) air mass to MPZ.

### 4.2.4 North to South trajectory

For the North-to-South trajectory case study, trajectories were selected which passed through the Nordic countries, across central Europe to CMN. The chosen trajectory









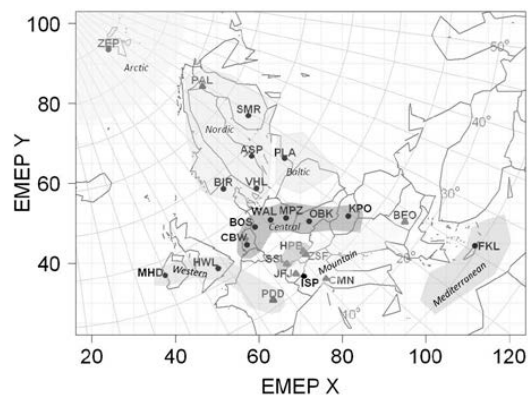
- in the marine boundary layer during the eastern Mediterranean “SUB-AERO” experiment, *Atmos. Environ.*, 40, 6245–6260, 2006.
- Harrison, R. M., Beddows, D. C. S., and Dall’Osto, M.: PMF analysis of wide-range particle size spectra collected on a major highway, *Environ. Sci. Technol.*, 5522–5528, 2011.
- 5 Harrison, R. M. and Jones, A. M.: Multisite study of particle number concentrations in urban air, *Environ. Sci. Technol.*, 39, 6063–6070, 2005.
- Hartigan, J. A. and Wong, M. A.: A *k*-means clustering algorithm, *App. Statist.*, 28, 100–108, 1979.
- Hildebrandt, L., Engelhart, G. J., Mohr, C., Kostenidou, E., Lanz, V. A., Bougiatioti, A., De-  
Carlo, P. F., Prevot, A. S. H., Baltensperger, U., Mihalopoulos, N., Donahue, N. M., and  
10 Pandis, S. N.: Aged organic aerosol in the Eastern Mediterranean: the Finokalia Aerosol  
Measurement Experiment – 2008, *Atmos. Chem. Phys.*, 10, 4167–4186, doi:10.5194/acp-  
10-4167-2010, 2010.
- Kopanakis, I., Chatoutsidou, S. E., Torseth, K., Glytsos, T., and Lazaridis, M.: Particle num-  
ber size distribution in the eastern Mediterranean: formation and growth rates of ultrafine  
15 airborne atmospheric particles, *Atmos. Environ.*, 77, 790–802, 2013.
- Kulmala, M., Vehkamäki, H., Petaäjä, T., Dal Maso, M., Lauri, A., Kerminen, V.-M., Birmili, W.,  
and McMurry, P. H.: Formation and growth rates of ultrafine atmospheric particles: a review  
of observations, *J. Aerosol Sci.*, 35, 143–176, 2004.
- 20 Philippin, S., Laj, P., Putaud, J.-P., Wiedensohler, A., de Leeuw, G., Fjaeraa, A., Platt, U., Bal-  
tensperger, U., and Fiebig, M.: EUSAAR an unprecedented network of aerosol observation  
in Europe, *Eurozoru Kenkyu*, 24, 78–83, 2009.
- R Development Core Team. R: A language and environment for statistical computing. R  
Foundation for Statistical Computing, Vienna, Austria, ISBN 3-900051-07-0, available at:  
25 <http://www.R-project.org/>, 2011.
- Reddington, C. L., Carslaw, K. S., Spracklen, D. V., Frontoso, M. G., Collins, L., Merikanto, J.,  
Minikin, A., Hamburger, T., Coe, H., Kulmala, M., Aalto, P., Flentje, H., Plass-Dülmer, C., Bir-  
mili, W., Wiedensohler, A., Wehner, B., Tuch, T., Sonntag, A., O’Dowd, C. D., Jennings, S. G.,  
Dupuy, R., Baltensperger, U., Weingartner, E., Hansson, H.-C., Tunved, P., Laj, P., Selle-  
30 gri, K., Boulon, J., Putaud, J.-P., Gruening, C., Swietlicki, E., Roldin, P., Henzing, J. S., Moer-  
man, M., Mihalopoulos, N., Kouvarakis, G., Ždímal, V., Zíková, N., Marinoni, A., Bonasoni, P.,  
and Duchi, R.: Primary versus secondary contributions to particle number concentrations in

31231

- the European boundary layer, *Atmos. Chem. Phys.*, 11, 12007–12036, doi:10.5194/acp-11-  
12007-2011, 2011.
- Ström, J., Umegard, J., Torseth, K., Tunved, P., Hansson, H.-C., Holmen, K., Wisman, V.,  
Herber, A., and König-Langlo, G.: One year of particle size distribution and aerosol chemi-  
5 cal composition measurements at the Zeppelin Station, Svalbard, March 2000–March 2001,  
*Phys. Chem. Earth*, 28, 1181–1190, 2003.
- Tunved, P., Ström, J., and Krejci, R.: Arctic aerosol life cycle: linking aerosol size distributions  
observed between 2000 and 2010 with air mass transport and precipitation at Zeppelin sta-  
10 tion, Ny-Ålesund, Svalbard, *Atmos. Chem. Phys.*, 13, 3643–3660, doi:10.5194/acp-13-3643-  
2013, 2013.
- Venzac, H., Sellegri, K., Laj, P., Villani, P., Bonasoni, P., Marinoni, A., Cristofanelli, P., Calzo-  
lari, F., Fuzzi, S., Decesari, S., Facchini, M.-C., Vuillermoz, E., and Verza, G. P.: High fre-  
quency new particle formation in the Himalayas, *P. Natl. Acad. Sci. USA*, 105, 15666–15671,  
2008.
- 15 Väänänen, R., Kyrö, E.-M., Nieminen, T., Kivekäs, N., Junninen, H., Virkkula, A., Dal Maso, M.,  
Lihavainen, H., Viisanen, Y., Svenningsson, B., Holst, T., Arneth, A., Aalto, P. P., Kulmala, M.,  
and Kerminen, V.-M.: Analysis of particle size distribution changes between three mea-  
surement sites in Northern Scandinavia, *Atmos. Chem. Phys. Discuss.*, 13, 9401–9442,  
doi:10.5194/acpd-13-9401-2013, 2013.
- 20 Von Bismarck-Osten, C., Birmili, B., Ketzel, M., Massling, A., Petaja, T., and Weber, S.: Charac-  
terization of parameters influencing the spatio-temporal variability of urban particle number  
size distributions in four European cities, *Atmos. Environ.*, 77, 415–429, 2013.
- Wiedensohler, A., Birmili, W., Nowak, A., Sonntag, A., Weinhold, K., Merkel, M., Wehner, B.,  
Tuch, T., Pfeifer, S., Fiebig, M., Fjåraa, A. M., Asmi, E., Sellegri, K., Depuy, R., Ven-  
25 zac, H., Villani, P., Laj, P., Aalto, P., Ogren, J. A., Swietlicki, E., Williams, P., Roldin, P.,  
Quincey, P., Hüglin, C., Fierz-Schmidhauser, R., Gysel, M., Weingartner, E., Riccobono, F.,  
Santos, S., Grünig, C., Faloon, K., Beddows, D., Harrison, R., Monahan, C., Jennings, S. G.,  
O’Dowd, C. D., Marinoni, A., Horn, H.-G., Keck, L., Jiang, J., Scheckman, J., McMurry, P. H.,  
Deng, Z., Zhao, C. S., Moerman, M., Henzing, B., de Leeuw, G., Löschau, G., and Bas-  
30 tian, S.: Mobility particle size spectrometers: harmonization of technical standards and data  
structure to facilitate high quality long-term observations of atmospheric particle number size  
distributions, *Atmos. Meas. Tech.*, 5, 657–685, doi:10.5194/amt-5-657-2012, 2012.

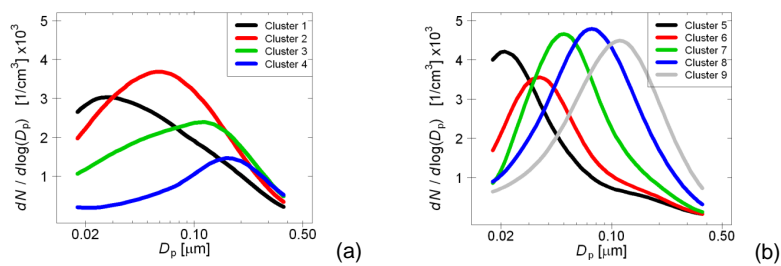
31232





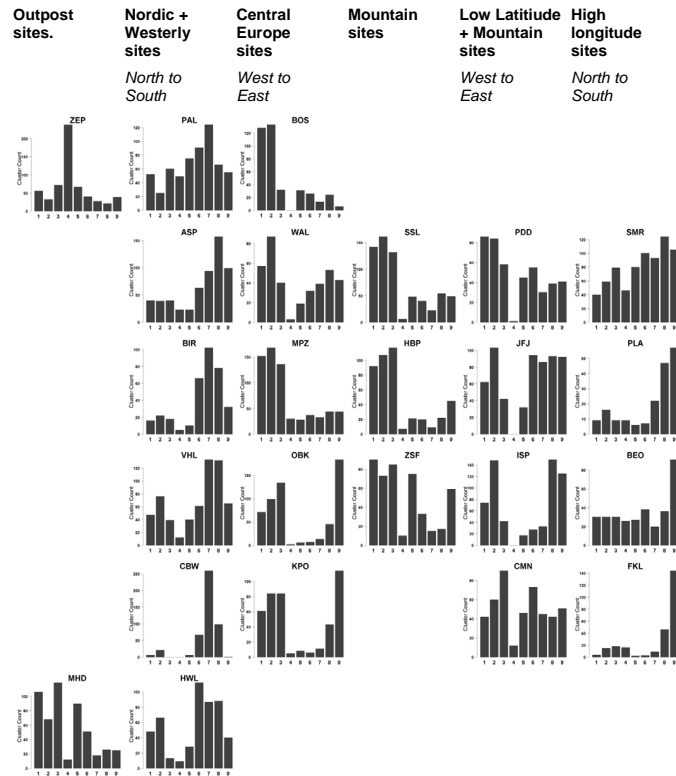
**Fig. 1.** Location of the 24 EUSAAR and GUAN stations in Table 1.

31235



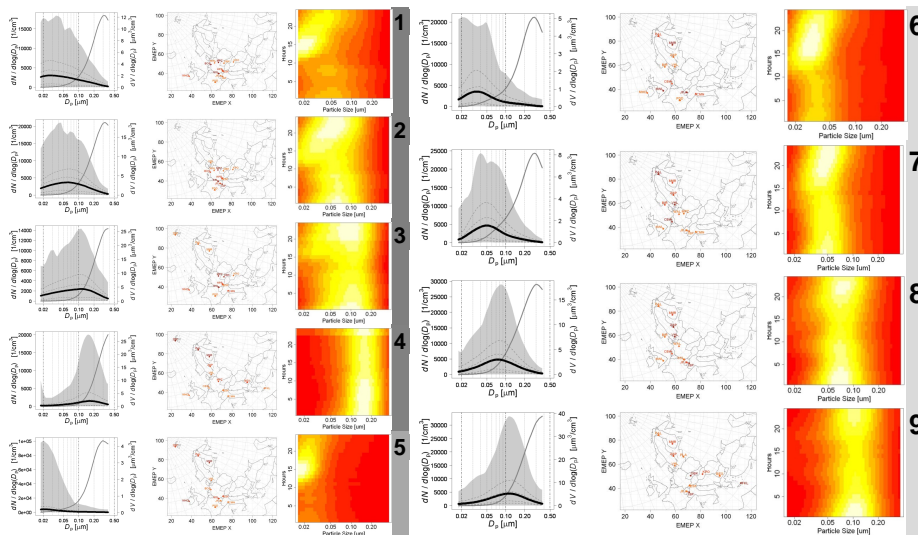
**Fig. 2.** Average Cluster Spectra resulting from the cluster analysis of the daily mean spectra collected at each of the 24 EUSAAR sites. **(a)** Clusters 1, 2 and 3 are the broad central European spectra and the Arctic spectrum 4 and **(b)** Clusters 5, 6, 7, 8 and 9 are the narrower size distributions observed at sites outside of the central European area.

31236



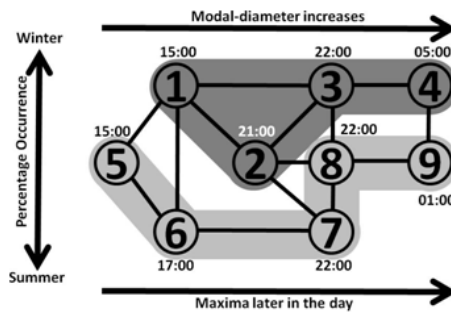
**Fig. 3.** Frequency of the clusters measured at each of the sites arranged in columns of similar patterns.

31237



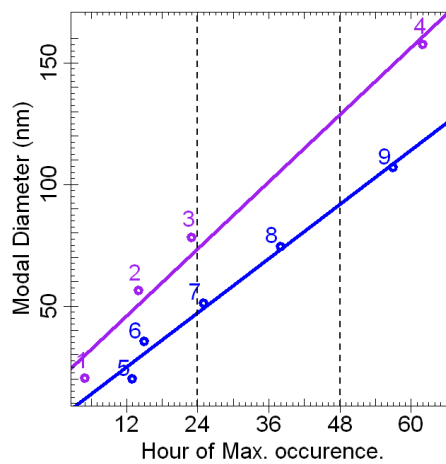
**Fig. 4.** Average clustered particle size distributions (*cluster 1–9 left hand panels*) and the spatial distribution of each cluster (*centre panels*). The solid black line shows the average spectrum and the dashed lines show the 10th, 25th, 75th and 90th percentile spectrum. The maximum and minimum spectra are traced out by the extremities of the shaded areas. The middle panels show where each of the clusters are most likely to be detected. When counting the spectrum types within the whole data set, the sites which collected above the 90th, 75th and 50th percentile were marked with a progressively lighter orange colour (see Fig. S5 for the frequency distributions). Circles denote boundary layer sites and triangles denotes sites of relatively high altitude. The right hand panel shows the colour maps plotted using the average day of hourly spectra for each of the clusters. (The shade from red-yellow-whites represent a linear scale of  $dN/d\log(D_p)$  between the minimum value of the 10th percentile spectrum and the maximum value of the 90th percentile spectrum shown for each cluster.)

31238



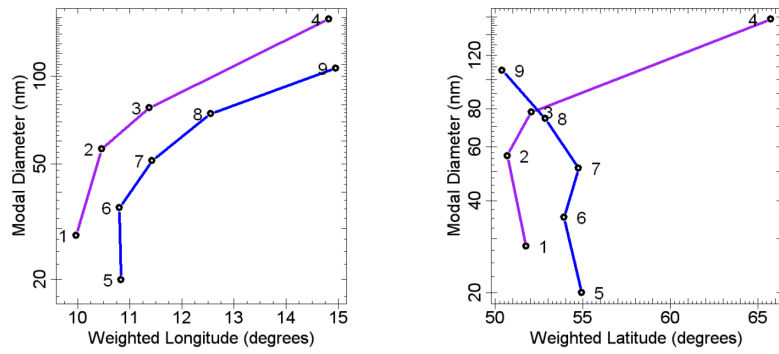
**Fig. 5.** Cluster Proximity Diagram. Each node in the diagram represents a cluster and each cluster is arranged according to its similarity to its neighbour. The modal diameter increases from left to right across the diagram and the two shaded regions indicate those clusters which are most frequently detected in central Europe (dark grey) and those which are not (lighter shades of grey). The times positioned next to each node indicate when the maximum occurrence of each cluster occurred.

31239



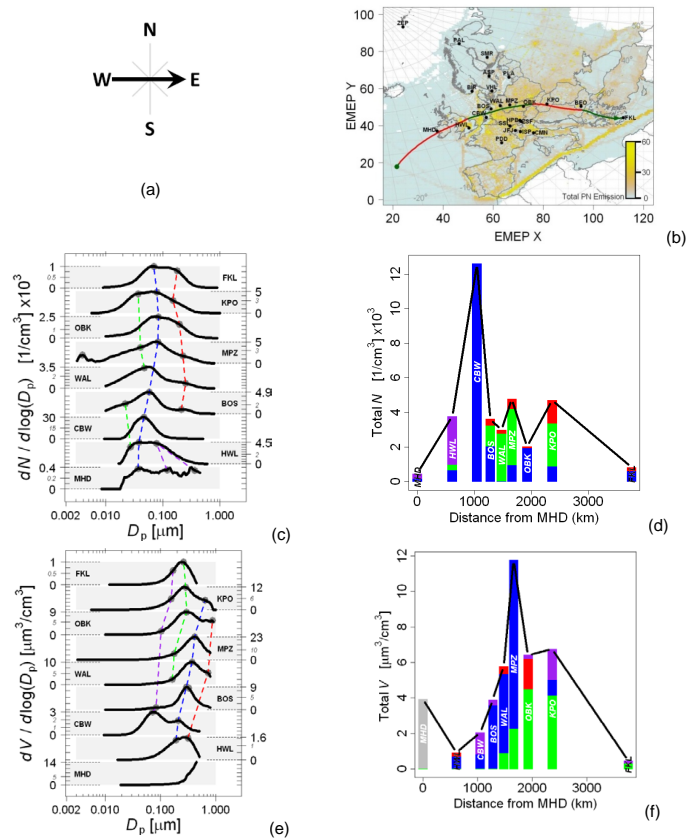
**Fig. 6.** Plot showing how the modal diameters MD of the average cluster spectra vary with the hour HR of their maximum occurrence. The purple (1, 2, 3 and 4) and blue (5, 6, 7, 8 and 9) colours depict two aggregated trends observed in the data based on a South to North and West to East air mass movement. The rates of growth from cluster to cluster are: for the purple (1, 2, 3 and 4) equal to 4.0, 2.4 and 2.0 nmh<sup>-1</sup>; and for the blue (5, 6, 7, 8 and 9) equal to 7.7, 1.6, 1.8 and 1.7 nmh<sup>-1</sup> (Fitted lines:  $y = 2.3x + 18.3$  and  $y = 1.9x + 2.6$ ).

31240



**Fig. 7.** Using the number distribution, the fitted modal diameter of each cluster (1–9) is plotted against the Weighted Longitude or Latitude, calculated for each cluster, using  $WL = \frac{\sum_i^{24} W_i \cdot X_i}{\sum_i^{24} W_i}$ , where  $X_i$  is the latitude/longitude of the sites where the cluster is detected and  $W_i$  is the corresponding population of the cluster across the 24 sites.

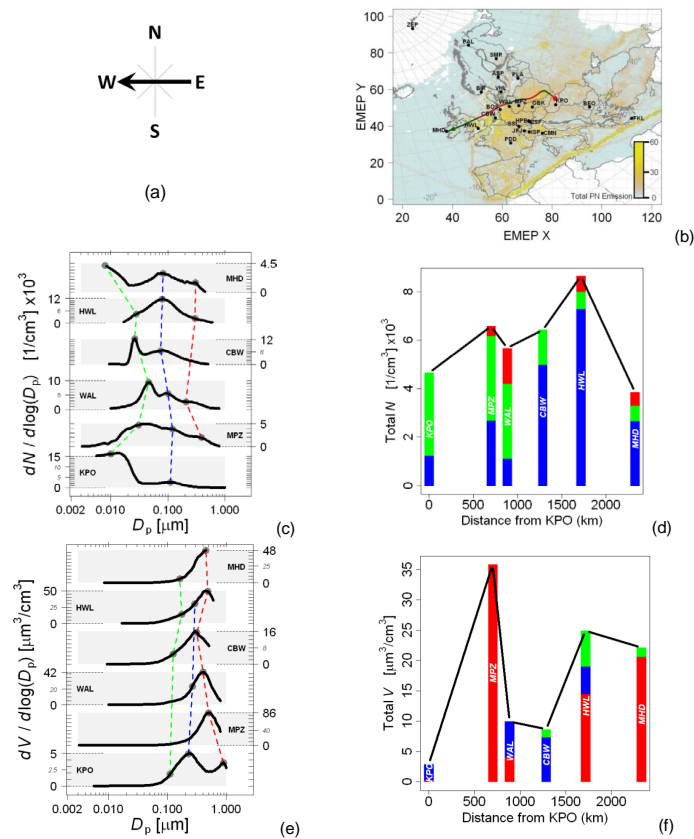
31241



31242

**Fig. 8.** CASE STUDY 1 (West to East) Temporal development of the particle size spectra plotted along the 5 day back trajectory shown in plotted green/red from midnight to midnight on the particle number (PN) emission map shown in panel (b), starting southwest of MHD on 18 December 2008 and arriving at FKL on 24 December 2008 at 00:00. The stacked number and volume spectra (c, e) show the size distributions measured at the sites as the air mass passes. The modal diameter of the fitted distributions are indicated by circles and the progress plotted by the coloured lines which are coded to indicate the fraction of total number for each site plotted against distance in the right hand panels (d, f). The dotted lines in panels (c) and (e) are primarily to guide the eye, rather than being proposed as a firm causal connection.

31243

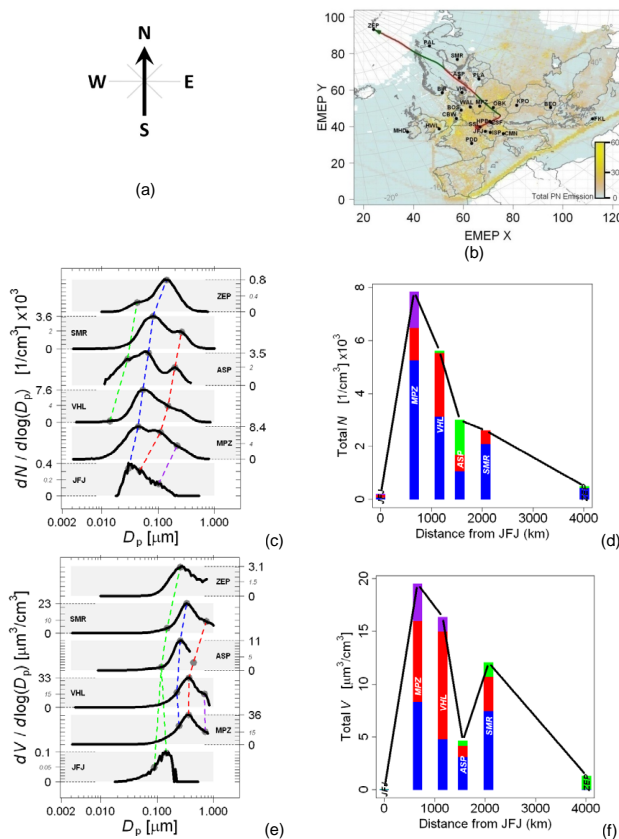


31244



**Fig. 9.** CASE STUDY 2 (East to West) Temporal development of the particle size spectra plotted along the 5 day back trajectory shown in plotted green/red from midnight to midnight on the particle number (PN) emission map shown in panel (b), starting at KPO on 11 April 2008 and arriving at MHD on the 16 April 2009 at 18:00. Spectra collected from the nearest site to the air mass path is plotted in the left middle and left bottom panels (c, e). The peak fitted the modal diameters and area of each of these curves is shown on the middle and right hand panels (d, f). The middle panels correspond to the metrics derived from the number spectra and the lower panels correspond to the metrics derived from the volume spectra. The dotted lines in panels (c) and (e) are primarily to guide the eye, rather than being proposed as a firm causal connection.

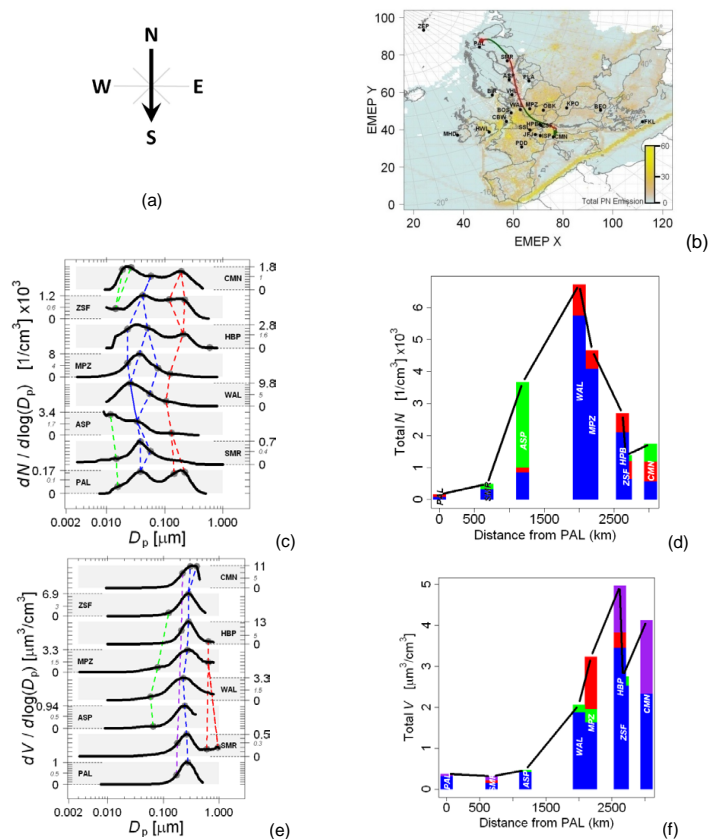
31245



31246

**Fig. 10.** CASE STUDY 3 (South to North) Temporal development of the particle size spectra plotted along the 5 day back trajectory shown in plotted green/red from midnight to midnight on the particle number (PN) emission map shown in pane (b), starting at JFJ-HPB on 2 February 2008 and arriving at ZEP on the 7 February 2008 at 06:00. Spectra collected from the nearest site to the air mass path is plotted in the left middle and left bottom panels (c, e). The peak fitted the modal diameters and area of each of these curves is shown on the middle and right hand panels (d, f). The middle panels correspond to the metrics derived from the number spectra and the lower panels correspond to the metrics derived from the volume spectra. The dotted lines in panels (c) and (e) are primarily to guide the eye, rather than being proposed as a firm causal connection.

31247



31248

**Fig. 11.** CASE STUDY 4 (North to South) Temporal development of the particle size spectra plotted along the 5 day back trajectory shown in plotted green/red from midnight to midnight on the particle number (PN) emission map shown in panel **(b)**, starting at PAL on 11 October 2009 and arriving at CMN on the 17 October 2009 at 18:00. Spectra collected from the nearest site to the air mass path is plotted in the left middle and left bottom panels **(c, e)**. The peak fitted the modal diameters and area of each of these curves is shown on the middle and right hand panels **(d, f)**. The middle panels correspond to the metrics derived from the number spectra and the lower panels correspond to the metrics derived from the volume spectra. The dotted lines in panels **(c)** and **(e)** are primarily to guide the eye, rather than being proposed as a firm causal connection.